

1 **Reading the public mind: a novel approach to improving the adoption of new**  
2 **science and technology**

3 *N.I. Fisher<sup>A,D</sup>, J.H.J. Cribb<sup>B</sup> and A.J. Peacock<sup>C</sup>*

4 <sup>A</sup>School of Mathematics & Statistics, University of Sydney, NSW 2006

5 <sup>B</sup>University of Technology, Sydney 2000

6 <sup>C</sup>Invasive Animals Cooperative Research Centre, University of Canberra, ACT 2617

7 <sup>D</sup>Corresponding author. Email: [nickf@maths.usyd.edu.au](mailto:nickf@maths.usyd.edu.au)

8

9 *Some key words:* Community Value Survey, Science communication

10

11 *Abstract.*

12 This paper describes a new approach to measuring and monitoring the quality of dialogue  
13 between research groups and the wider community about specific scientific matters. It is  
14 an adaptation of a proven marketing process for monitoring customer satisfaction: key  
15 drivers of community perception are elicited and measured, so that managers can respond  
16 to the issues that are most important to the community, rather than relying on their own  
17 perceptions. One important benefit of the approach is that the method provides a means  
18 of linking an overall score for the community's perceived value of a research project to  
19 an important business driver such as "Percentage of people very willing to support  
20 deployment of the research results". The method is illustrated by a case study exploring  
21 the views of the Australian public about research into genetic manipulation for pest  
22 mouse control. For the population surveyed (the community in New South Wales), some  
23 40% were very willing to support the use of genetic manipulation to manage pest mice. If  
24 an increase of approximately 1.5 in the score for the perceived value of a research project

1 (measured on a scale from 1 to 10) were achieved, the prediction is that overall  
2 community support for eventual deployment would rise to about 80%. The approach  
3 would appear to have a useful role to play in assisting eventual technology adoption.

## 4 5 **1. Introduction**

6 The decline in public trust in science has been widely documented ; see for example  
7 Roederer (1998), The British Council (2001), UK House of Lords *Science & Technology*  
8 – *Third Report* (2000), and Wynne (2006). It is attributed in part to the rise of  
9 participatory democracy and democratic expectations in all spheres including science,  
10 and also to the fact that much science has become publicly invisible, by being  
11 commercial, military or simply uncommunicated. As a result the scientist, the scientific  
12 institution, the funding body and others in the research enterprise no longer receive  
13 automatic respect and there is increased questioning of their ethics, motives and, above  
14 all, of who will control the resulting technology. This is having a marked effect on the  
15 willingness of some societies to adopt some new technologies, on the speed and extent of  
16 adoption, and the level of scrutiny and regulation over it demanded by society: see *e.g.*  
17 the Australian Government's *Invest Australia*: web pages about Australia's Agribiotech  
18 Capabilities:  
19 [http://www.investaustralia.gov.au/index.cfm?menuid=55743716-508B-A0EB-](http://www.investaustralia.gov.au/index.cfm?menuid=55743716-508B-A0EB-6836D415A9597523)  
20 [6836D415A9597523](http://www.investaustralia.gov.au/index.cfm?menuid=55743716-508B-A0EB-6836D415A9597523). In extreme cases it leads to technology rejection ; for example, food  
21 irradiation in Australia.

22  
23 Community sanction has become a pivotal element in the adoption and implementation of  
24 powerful new technologies with far-reaching effects on society, the environment and  
25 economy. Genetic manipulation, cloning, embryonic stem cell science, xenotransplants,  
26 nuclear energy, water recycling and nanotechnology are recent examples of this. In the  
27 case of genetic manipulation (GM), Australian Federal Government approval has been  
28 countered by State moratoria on the growing of transgenic crops. In the case of stem cell

1 science, a government unable to reach a clear decision resolved the matter through a free  
2 conscience vote of MPs in the Federal Parliament.

3  
4 These developments demonstrate that the community, through the media and lobby  
5 groups, has the power to block or stall the introduction of new technologies. The failure  
6 of scientific developers to adequately inform and consult society can be a major factor in  
7 the community's decision to exercise this power. Indeed, this may apply even to non-  
8 contentious technologies; see *e.g.* Rickard (2004), the remarks by Crawford & Goss  
9 (2005) prefacing a special issue of a journal devoted to the interaction between science  
10 and society, and Kelly *et al.* (2006).

11  
12 The Pest Animal Control Cooperative Research Centre (PAC-CRC) has been conducting  
13 research into the use of GM technologies to achieve safe, effective and low-cost pest  
14 control. Specifically, PAC-CRC worked to develop new biological control agents or  
15 methods for four of Australia's most damaging pest animals, namely the European rabbit,  
16 European red fox, the introduced house mouse and the common carp. (PAC-CRC reached  
17 the end of its funding period, and its work is now being continued in the Invasive  
18 Animals CRC.)

19  
20 One approach taken by PAC-CRC was to develop vaccines that controlled pest animals  
21 by limiting their reproduction. Delivery of the vaccines was to be through baits, or  
22 preferably through the agency of a virus that spreads naturally through the target pest  
23 population. Such vaccines are created by genetically modifying a carrier virus to include

1 DNA for sperm, egg or other key reproductive proteins. The product is a modified virus  
2 that, during infection of the pest, causes an immune response which attacks the animal's  
3 own sperm or eggs and prevents reproduction. The use of fertility control vaccines holds  
4 out the promise of being more cost-effective, more humane and more environmentally  
5 friendly than current methods of control. In the case of carp, the approach has been to  
6 develop fish which are genetically altered to have no female offspring, with the intention  
7 that the proliferation of males in the population will cause it to decline.

8

9 Ultimate deployment of such methods depends on Government approval which involves  
10 a period of community consultation and comment ; adoption of the science thus depends  
11 on approval by the community. This in turn depends on the outcome of an extended  
12 dialogue with the community that openly addresses and responds to all significant  
13 concerns and requirements. It is important to monitor the efficacy of such a dialogue  
14 between science and society, particularly with a view to evaluating specific  
15 communication initiatives and to identifying the issues most in need of attention.

16

17 This paper describes a survey method that is designed to address these requirements, The  
18 method was developed in the broader context of research into performance measurement  
19 for enterprises, and is an analogue of a well-established marketing process for monitoring  
20 customer satisfaction. Suitably adapted, it can provide a quantitative assessment of  
21 community perceptions over time. Further, it is possible to connect the overall survey  
22 results to potentially important factors such as the community's overall willingness to  
23 support a particular development or the deployment of a GM agent. Such a linkage can be

1 crucial. If, despite best communications efforts, a suitable level of support for release  
2 cannot be achieved, this would provide strong evidence that commercialisation in  
3 Australia is not likely to be successful, thereby saving both public and private money and  
4 scientific resources for projects with a higher prospect of ultimate success.

5 As a final point, we note that there have been a number of less structured surveys of  
6 stakeholder perceptions in the agricultural community, *e.g.* Llewellyn *et al.* (2005) and  
7 Pahl & Sharp (2007). We believe there is scope to explore possible gains to be made from  
8 structuring such surveys as Community Value surveys.

9

## 10 **2. Community Value Management**

11 In the general framework for Performance Measurement developed by Dransfield, Fisher  
12 & Vogel (1999), an enterprise has to create and add 'Value' (in a sense to be defined) for  
13 five key stakeholder groups in order to gain and sustain success. These groups are: the  
14 Owners of the enterprise, its Customers, the People who work for it, its Strategic Partners  
15 and Suppliers, and the wider Community. All these stakeholder groups make some sort of  
16 investment in the enterprise – money, labour, support, technology adoption – and all have  
17 alternative possibilities for their investment, hence the need for the enterprise to provide  
18 its stakeholders with greater 'Value' than can be obtained elsewhere, to retain their  
19 support. For Customers, and so in the context of a product or service being provided,  
20 'Value' is interpreted as 'Worth what paid for'. For People, it can be interpreted as  
21 'Worth working for this enterprise', and so on.

22

1 Some 20 years ago, a process called Customer Value Management was devised for  
2 creating and adding value for Customers, and it has since been successfully deployed in a  
3 wide range of organisations. It is well-documented by its primary developer, Ray  
4 Kordupleski, in a book written with Janice Simpson (Kordupleski & Simpson, 2003).  
5 More recently, the process has been successfully adapted to the People stakeholder group  
6 (in several unpublished studies by ValueMetrics Australia, [www.valuemetrics.com.au](http://www.valuemetrics.com.au)),  
7 and thus suggests itself as a natural path to investigate the Community as stakeholder.

8

9 The basic steps in the Community Value Management process are depicted in Figure 1.

10 – Figure 1 near here –

11 The description below is an exact parallel of what is done with Customer Value  
12 Management, so that detailed discussion of each step can be found in Kordupleski &  
13 Simpson (*op. cit.*). More detail about the selection and conduct of the focus groups, and  
14 about the survey instrument, is provided in the Appendix.

15

## 16 **Step 1. Develop and conduct a Value Survey**

17 1.1 *Develop a provisional Value Tree*. The starting point is the development of a so -  
18 called Value Survey, based on the concept of a Value Tree in which *Value Added for*  
19 *the Community* is modelled in terms of its most important quality characteristics, or  
20 ‘satisfaction Drivers’ as they are termed in their original marketing context . For the  
21 present context we have interpreted *Community Value* as *Worthwhile Research*  
22 *Project*. The Value Tree we use is shown in Figure 2.

23 – Figure 2 near here –

1 Initial discussions are held with subject matter experts to obtain their input about (a)  
2 the community's main quality characteristics ('Attributes') for Benefits and  
3 Concerns; and (b) potentially important demographic factors. Focus groups are then  
4 conducted in the community, taking account of (b), to obtain a provisional list of the  
5 most important Attributes of each Driver.

6

7 1.2 *Develop a provisional Value Survey instrument and collect data from a pilot*

8 *experiment.* The provisional Value Tree is readily converted to a survey instrument.

9 Starting with the Attributes of Benefits, survey respondents are presented with a  
10 series of requests of the form:

11 *On a scale of 1 to 10, where 1 is Poor and 10 is Excellent, please rate the*

12 *Research Program on the following:*

13 *Financial benefits to farming families*

14 *... etc. for the other Attributes*

15 and finally the summary request,

16 *Taking account of all these factors, please rate the overall Benefits of the*

17 *Research Program.*

18 Respondents are asked their main reason for assigning this response, leading to some  
19 qualitative data to provide additional insight when the quantitative data are analysed.

20

21 Turning to the Attributes of Concerns, the request has to be posed slightly differently  
22 to obtain ratings that increase with increasing satisfaction; thus a score of 1 equates to  
23 *Unconcerned* and 10 to *Very Concerned*.

1

2 Finally, at the top level, the request takes the form

3 *Taking account of the overall Benefits and Concerns, please rate work being*  
4 *undertaken as a Worthwhile Research Program.*

5 The result is a tree-structured set of ratings, each on a 10-point scale, as shown in  
6 Figure 3.

7 – Figure 3 near here –

8 There is one other important inclusion in a Value survey: one or two so-called  
9 “Business impact” questions that allow the overall *Value* score to be linked to higher-  
10 level business drivers. In the context of a Community Value survey, these can take  
11 the form of requests such as

12 *On a scale of 1 to 10, where 1 is Unwilling and 10 is Very Willing, please rate*  
13 *your willingness to support eventual deployment of a genetically modified agent*  
14 *to manage pest mice*

15 or

16 *On a scale of 1 to 10, where 1 is Unwilling and 10 is Very Willing, please rate*  
17 *your willingness to support research into the use of genetic technologies to*  
18 *manage other pests such as foxes, cane toads, ...*

19 Again following Kordupleski & Simpson, the resulting data can then be used to create  
20 the sort of graphs depicted in Figure 4.

21 – Figure 4 near here –

22 (The fitted curves are logistic functions of the form  $Y = 1 / (1 + \exp(-\theta_0 - \theta_1 x))$ ,  
23 anchored to the point (10, 100).) They provide the basis of deciding what sort of

1 improvement in the *Value* score might be sought, based on a proposed improvement  
2 in Willingness to support eventual deployment of the agent.

3

4 1.3 *Test the validity of the survey instrument.* An unusual aspect of this general approach  
5 to a satisfaction survey is that it is possible to ascertain whether something important  
6 has been omitted from the survey, either at the level of Drivers or of Attributes. The  
7 data may be analysed using a hierarchical set of linear statistical models. Thus, in  
8 Figure 2, we begin by modelling the response *Benefits* as a linear function of the  
9 explanatory variables *Financial benefits, Reduced spread of infection, . . .*, and the  
10 response *Concerns* as a linear function of *Native species affected, Hazardous to*  
11 *people's health, . . .*. In each case the quality of the model fit (commonly assessed by  
12 the multiple correlation coefficient  $R^2$ ) provides a means of gauging whether all the  
13 most important Attributes (explanatory variables) are present. Finally, *Value* can be  
14 modelled in terms of its two explanatory variables, *Benefits* and *Concerns*, and again  
15 evaluated for adequacy (see *e.g.* Fisher, Lee & Sparks 2005 for a description of the  
16 method in the context of customer surveys, and further references to the literature ).  
17 Should some of these models prove inadequate, it is necessary to study comments  
18 made in the survey, the results of the focus groups and, *in extremis*, to conduct further  
19 focus group work to identify the missing Attribute(s) or Driver(s).

20

21 1.4 *Carry out the complete survey and analyse the data.* The goal of the data analysis is  
22 to be able to construct a table of the form shown in Table 1, which displays the

1 relative importance of the different Attributes as explanatory variables, and how the  
2 Research Program is currently rated on each Attribute.

3 – Table 1 near here –

4

5 **Step 2. Identify the priorities with the biggest impact on the enterprise.**

6 The complete results are used to identify which Drivers and Attributes

7 (a) carry the most weight, and

8 (b) are rated poorly,

9 as a basis for selecting improvement priorities. See Kordupleski & Simpson (*op. cit.*) for  
10 an elaboration of this aspect.

11

12 **Step 3. Make the improvements, communicate the improvements, and re-survey.**

13 The survey results inform the ongoing dialogue with the Community. After one identifies  
14 what needs to be worked on, the key questions are: what is an appropriate response  
15 (better explanation of the work? further research? ...); and what is the appropriate way to  
16 communicate the information or improvements?

17

18 Finally, we wish to emphasize an important distinction. Customer Value surveys,  
19 focussing as they do on measuring market perceptions of delivered Value and its drivers,  
20 provide just one means of carrying out customer surveys. (The same is true of People  
21 Value surveys.) Other approaches are possible based on sociological or psychological  
22 considerations. We have chosen to base our approach on an analogue of Customer Value  
23 because it appears to provide three distinct benefits:

- 1        1. If one accepts the primary focus on Value, one can use statistical methods to
- 2            verify that the most important factors affecting people's perceptions of Value
- 3            have been included in the survey.
- 4        2. The approach provides a means of identifying actionable improvement priorities
- 5            likely to yield the greatest improvement in Value.
- 6        3. The approach provides a means of linking Value to other important factors.

7

8        Our interest is in science communication research, rather than sociological research, with

9        the goal of providing a short-term basis for decision and action, in other words,

10        facilitating practical science communication activity.

11

### 12        **3. A case study on management of pest mice**

13        We shall illustrate the approach with an initial study that was carried out in relation to a

14        program of research into genetic technologies for controlling pest mice.

15

16        Plagues of mice (non-native mice) occur about every year or two in Australia and were

17        conservatively reckoned to be causing of the order of 46 million dollars of damage, over

18        a decade ago (Caughley *et al.*, 1998). PAC-CRC, CSIRO and Australian universities had

19        shown that it was possible to modify a harmless mouse virus so that the female mice

20        could no longer have offspring. Research was directed at showing that the virus was safe

21        for all other animals, and that it would work in the field.

22

1 To help inform a process of community dialogue about the progress of their research,  
2 PAC-CRC commissioned ValueMetrics Australia to conduct an initial Community Value  
3 survey in 2004, focused on the people of New South Wales.

4

5 In accordance with the description of the Community Value Management process, two  
6 Focus groups were held in Sydney, and two in Albury, based on advice from the expert  
7 focus group that the important Attributes for people from coastal cities might differ from  
8 those of people from Regional and Rural areas, who are more immediately affected by  
9 mouse plagues. The expert focus group included scientists, science communicators and  
10 program managers from people from CRC itself, CSIRO, the Grains Research and  
11 Development Corporation and universities. Participants in the community focus groups  
12 were chosen to provide a cross-section of the population according to *Age, Educational*  
13 *level, Gender and Occupation*. These resulted in the development of a provisional set of  
14 Attributes, from which an initial survey instrument was developed. A fifth focus group  
15 was held in Sydney to test the wording of the statements in the survey.

16

17 Telephone interviewing was selected as the means of acquiring survey data. A specialist  
18 company, IRIS Research, were commissioned to carry out data collection. Cost  
19 limitations dictated a maximum sample size of about 720 respondents. These were  
20 divided equally between “City” and “Country” people.

21

22 The pilot survey was conducted as a series of three mini-surveys of a total of 420  
23 respondents. After each mini-survey, the data were analysed to check the adequacy of the

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1 model fit, with small changes to the wording of individual survey statements being made.  
2 Also, one Attribute that had been extracted from the Country focus groups (relating to  
3 whether farming communities would get a say in the deployment of the method) was  
4 found to make negligible contribution and so was eliminated from the survey, making  
5 the City and Country survey instruments identical. The common Community Value tree  
6 that formed the basis of the survey is shown in Figure 5.

7 – Figure 5 near here –

8 The main survey was then conducted with the remaining respondents, resulting in some  
9 292 responses.

10

1 **4. Results**

2 The main findings from the statistical modelling and analysis are summarised in Figure 6.

3 – Figure 6 near here –

4 The mean rating of Community Value is  $R = 8.2$ , with a standard error of 0.1. The most  
5 important question is: Is this a good or a bad rating? We return to this shortly. The  
6 highest-level regression model is

7 
$$\text{Rating}(\text{Value}) = 0.59 \times \text{Rating}(\text{Benefits}) + 0.11 \times (11 - \text{Rating}(\text{Concerns})).$$

8 With the model-fitting approach adopted here, the regression coefficients (or *impact*  
9 *weights*) 0.59 and 0.11 add to the total  $R^2$  for the model fit so that the quality of this fit  
10 has  $R^2 = 70\%$ . The two lower-level regressions have  $R^2 = 84\%$  with Benefits as the  
11 response variable, and  $R^2 = 64\%$  with Concerns as the response variable.

12

13 The quality of two of these three model fits is discussed in the next Section. Now, we  
14 return to the issue of whether an overall rating on Value of 8.2 out of 10 is a good score  
15 or a bad one. The graphs corresponding to those in Figure 4 are shown in Figure 7. We  
16 see that the current Value score corresponds to about 45% of the community being very  
17 willing (as defined by a rating of 8, 9 or 10) to support eventual use of viral methods to  
18 manage pest mice.

1 **Discussion of case study**

2 The following is a summary of issues of significance to communication that emerged  
3 from the surveys.

4 (a) Strong public support

5 There appears to be a very substantial base of public support for doing something about  
6 mouse plagues. This is surprisingly solid in urban as well as rural areas. While the  
7 public does not have a particular preference about the technology used it is clear that it  
8 sees a very significant national benefit in the goal of controlling mouse plagues, in  
9 addition to industry, local community and economic benefit. This offers a foundation for  
10 future public dialogue and awareness activity.

11

12 (b) Risk

13 There is some public concern about the riskiness of the new technology, both to humans  
14 and the environment. This is clearly an issue on which the public will need to be satisfied  
15 before there is consent to release. A formal dialogue and public consultation process is  
16 highly advisable, giving the community assurance that it has some responsibility for the  
17 final decision.

18

19 (c) Lack of information

20 The survey clearly identified a lack of public information about the issue and technology  
21 in question as one serious issue (a weight of 14% with a rating of 7.5). It is not only a  
22 case of providing information to the public, but of *being seen* to provide it. Information  
23 provided must be clear, straightforward, transparent, and in plain language, it must admit  
24 risks as well as benefits and discuss them objectively and it must concede uncertainties  
25 and indicate what might be done in certain eventualities.

26

27 (d) Australia's image

28 Though less prominent than the above issues, there is a significant public concern for  
29 Australia's good name in the world, which will need to be addressed during  
30 communication of this technology. This contains both positive and negative components  
31 – the benefits to Australia for having been a world leader in safely and successfully

1 implementing a new biocontrol strategy, and the consequences both reputational and  
2 economic of having made a mistake.

3

4 It will be necessary to show that these issues have been carefully thought about and that  
5 we are in a position to capitalise on any benefits or limit damage resulting from anything  
6 that may go wrong.

7

8 (e) Final assessment of case study

9 The initial phone survey indicates public support for the control of mouse plagues.

10 However it also indicates the need for a well-thought-out and planned dialogue process  
11 over the release of any GM virus, giving the public a genuine sense of being able to air its  
12 views and concerns and contribute to the decision.

13

14 It will be essential to constantly monitor public opinion and its key drivers throughout the  
15 technology introduction process in order to understand fully the community view at the  
16 time and respond to areas of perceived need for information and consultation in a timely  
17 and responsible fashion.

1 **Conclusions**

2 *Learnings from quantitative aspects*

3 (i) *Approach to data collection.* Community surveys can be very expensive, especially if  
4 conducted by personal interview or telephone. In the present instance, telephone surveys  
5 were used. Our experience is that this method of acquiring data tends to result in quite  
6 noisy results (although see (ii) below for an additional cause of variability). A CATI  
7 (Computer Aided Telephone Interview) operator provides a limited amount of  
8 information across a telephone line, a respondent is not in a position to study it or think  
9 much about his/her answer, and the CATI operator tends not to be able to provide  
10 clarification about specific points. In the future, and based on our experience with another  
11 survey, we will experiment with Internet panels: see the discussion in Fisher, Lee &  
12 Cribb (2006).

13 (ii) *Quality of model fits.* Generally, with Customer Value surveys, one seeks to obtain  
14 hierarchical regression models that explain at least 70% of the variation. In our  
15 experience, Customer Value data tend to be rather less variable than Community Value  
16 data, probably because the general issues being considered and the particular Attributes  
17 being rated are more concrete in the Customer setting. Given these comments, we feel  
18 that the actual fits that were obtained ( $R^2 = 70\%$  for the highest level regression model of  
19 Value as a function of Benefits and Concerns,  $R^2 = 84\%$  with Benefits as the response  
20 variable, and  $R^2 = 64\%$  with Concerns as the response) were very encouraging.  
21 Generally, one would hope to explain rather more than 64% of the variation; however,  
22 there was nothing in the qualitative comments solicited from survey respondents that  
23 helped us to identify another Attribute for Concerns. In future studies, we would make

1 one significant change to the basic Value Tree. We believe that significant gains in  
2 modelling might be achieved by the addition of a third Driver of Value, namely one  
3 relating to priority for expenditure on environmental problems. Whilst people may well  
4 see a lot of benefit in the research, and be quite unconcerned about potential perils, they  
5 may well feel that there are rather more pressing issues worthy of research attention. The  
6 addition of this Driver together with attendant Attributes might well help improve both  
7 the prediction of Value and the model fit for Concerns.

8

9 *General points*

10 From these initial experiments we conclude that Community Value Analysis shows  
11 promise as a technique for smoothing the path to adoption of new science and technology  
12 through a process of consultation which helps to give the community a sense of  
13 ownership of the decision.

14

15 A smoother path to adoption also implies that economic, social and environmental  
16 benefits from new science and technology will be delivered sooner and a more substantial  
17 return on public research and development investment captured. It also assumes that  
18 scientists and decision-makers respond adequately to issues of concern to the community.

19 In the case of commercial technologies it offers the opportunity to be 'first to market '  
20 with an informed view of likely consumer response.

21

22 The process is also valuable in that it can provide scientific organisations with advance  
23 notice of likely community reaction to new science and technology. This applies

1 particularly to the so-called ‘disruptive technologies’ capable of changing society  
2 significantly, notably biotechnology and nanotechnology. This provides scientists with  
3 the opportunity to:

- 4 • discontinue research which is likely to meet with community rejection, rather  
5 than running a high risk of wasting scarce resources;
- 6 • modify research so that the delivered outcome is more acceptable to the  
7 community;
- 8 • improve communication to address particular issues of concern, interest or  
9 demand for more information on the part of the community or subsets of it;
- 10 • understand the broad issues driving public opinion for and against a particular  
11 technology or innovation and respond to them appropriately;
- 12 • understand these drivers of public opinion as they change with time, thus  
13 enabling a quicker and more effective response to public wishes and concerns;  
14 and
- 15 • understand better the likely consumer response to new technologies.

16

17 From the point of view of science communication, the most significant benefit arising  
18 from the case study was that it provided clear insight into the *drivers* of community  
19 attitudes. This is a substantial advance over the existing situation, in which scientists and  
20 technology decision-makers very often make assumptions about community perceptions  
21 of benefits and concerns and the acceptability of the technology, based on their own  
22 feelings. Having invested so much time, expertise, money, enthusiasm and personal  
23 commitment in a new technology, its developers are not usually well-placed to judge the

1 public's attitudes towards it, especially if some of those attitudes are questioning,  
2 negative or hostile.

3

4 Thus, "Benefits to family farmers", "Economic benefits to Australia" and "Health and  
5 well-being of the Australian Community" were the highest weighted and highest rated of  
6 the community's perceived benefits of the research. In the absence of this information,  
7 the managers and scientists involved may well have continued to put most emphasis into  
8 promoting the humaneness as a key benefit of the technology – as indeed was the case in  
9 the past.

10

11 In the case of Concerns, no issue stood out as being substantially more or less important  
12 than any other. However, the fact that "Will the costs outweigh the benefits?" is weighted  
13 and rated more highly than "Could the virus get into the food chain?" is instructive in  
14 developing the technology. To that point, public information provided by PAC –CRC had  
15 concentrated to a far greater extent on the nature of the virus under trial than the  
16 benefit:cost ratio or public safety aspects of the work. The Community Value approach  
17 indicated that a change in emphasis was warranted, to improve dialogue with the  
18 community.

19

20 Finally, a significant benefit of the Community Value approach is its ability to monitor  
21 the drivers of community attitude on an ongoing basis and respond by fine-tuning public  
22 outreach accordingly. The current survey was conducted in the absence of any anti-GM  
23 campaign; nor was there a mouse plague at the time. Community attitude can be expected

1 to be modified by events or new knowledge. Traditional survey methods that simply seek  
2 an overall attitude at one point in time will not provide sufficient information as to *why*  
3 members of the community may have formed either a positive or a negative attitude to a  
4 new technology and how that may alter in the face of new developments.

5

6 We submit that the Community Value approach described in this paper can contribute  
7 greatly to the quality of the dialogue between scientists and the community, by enabling  
8 clearer and more timely science communication focussed on audience needs and  
9 concerns. It provides a new way for science to listen to community views – both  
10 informed and uninformed - about new technologies and work out the best path to  
11 adoption.

12

13 The prospects for successful adoption will in turn influence the choice of science to be  
14 carried out, how it is performed, its goals and the likely success and speed of its adoption,  
15 leading to resources being directed to those projects with greater prospects for successful  
16 uptake and hence to a better return on public or industry science investments.

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1 **Acknowledgements**

2 We are grateful to Martin O'Shannessy (Illawarra Regional Information Service) for his  
3 generous cooperation in acquiring survey data, and to Professor David Steel (University  
4 of Wollongong) for helpful discussions about technical aspects of telephone surveys.

5

1 **Appendix: Focus groups and conduct of survey**

2 **A1. Selection and conduct of Focus groups**

3 Detailed discussion of the purpose of the focus groups in developing Value Surveys can  
4 be found in Kordupleski & Simpson (2003). Here, we provide some specifics for the Pest  
5 Mice project.

6  
7 The initial discussion with experts was used to generate an initial list of Attributes for  
8 each Driver, that is, a list of the most important factors that the experts believed  
9 contributed to the community's overall satisfaction about a particular Driver. The  
10 material obtained provides input to the conduct of the focus groups held in the  
11 community. Budgetary considerations meant that two focus groups could be conducted in  
12 each of two locations in New South Wales, with a follow-up focus group to test the  
13 wording of the survey instrument. It was the advice of the experts that the locations  
14 should be in a city, and in a regional centre near to a wheat farming community. Sydney  
15 and Albury were selected for the purpose. A professional agency was contracted to  
16 organise the focus groups. The criteria provided included: 10–12 people per focus group;  
17 allowance for the demographic factors, age, gender, occupation, educational level.

18  
19 Participants were given an initial briefing about the general issue of pest mice and the line  
20 of research being pursued, after which there was a short general discussion. Following  
21 this, a facilitated process was followed to elicit what they thought the community would  
22 see as benefits of the research and possible areas of concern. Material obtained from the  
23 earlier discussion with experts was tested during this phase. Multi-voting was used to  
24 summarise the resulting data.

25

26 **A2. Development of preliminary survey instrument**

27 The provisional lists of Attributes of Benefits and Concerns were then turned into  
28 provisional statements in a draft survey (*cf.* Step 1.2 in the main text). These statements  
29 were then tested for clarity of interpretation in a final focus group in Sydney, together

1 with some introductory text explaining the purpose of the survey. Overall, some 51  
2 people participated in the five focus groups.

3

4

### 5 **A3. Fine tuning of survey instrument**

6 There is no guarantee that someone listening to information at the end of a telephone line  
7 will comprehend material as well as someone sitting in a focus group. We embarked on a  
8 series of three small pilot telephone surveys totally about 420 respondents, to validate  
9 (using statistical analysis) the adequacy of the provisional sets of Attributes and Drivers  
10 as predictors, and to improve the wording in the survey instrument. Statistical analysis of  
11 the first few pilot surveys indicated that the model fits were not satisfactory, which  
12 appeared to be due, at least in part, to respondents not understanding what was being  
13 asked or why. The company contracted to conduct the telephone research also monitored  
14 the performance of its CATI operators to decide who were best at conducting the  
15 interviews.

16

17 The final versions of the Introduction and the survey statements are reproduced below.

18

19 IRIS used standard random dialing sampling practices to obtain samples or respondents  
20 balanced for the key demographic variables selected by the expert group.

21

#### 22 **Details of survey**

23 **Introduction** (respondents living in cities; slightly modified for regional and rural communities in NSW)  
24 You may be aware that plagues of non-native mice occur in Australia about once every three years. Each  
25 plague costs the Australian community about 125 million dollars in damage to crops. Groups working to  
26 solve this problem are the Research Centre for Pest Animal Control, the CSIRO and a number of Australian  
27 universities. These groups have found that it is possible to produce a genetically modified version of a  
28 harmless mouse virus that controls mouse plagues by preventing female mice from having babies. This is a  
29 world first scientific breakthrough and research is now under way to make sure the virus is safe for all other  
30 animals and that it will work under real world conditions. The reason for this survey is to get people's views  
31 about the benefits of using a virus like this to control plagues of mice and to find if you have any concerns  
32 about the approach. There are two main parts to the survey. First I will read out a number of possible

1 benefits and ask you to rate how you think the proposed method will perform in providing that benefit and  
2 we will ask about any concerns you may have later in the survey  
3 We will use a scale of 1 to 10 where 1 means Poor and 10 means Excellent. ['Don't know' was provided as  
4 an option.]

5

6 1.1 Benefits to farming families such as better incomes and improved health and welfare.

7 1.2 Economic benefits to the whole Australian community such as cheaper food prices and better export  
8 income

9 1.3 Environmental benefits such as less competition for native animals and plants.

10 1.4 Enhancing Australia's international image through cleaner grain exports and recognition of our  
11 scientific expertise

12 1.5 Health and welfare benefits to average Australians through cleaner homes and food and fewer diseases  
13 carried by mice

14 1.6 A more humane approach than poison as the mouse is not killed or hurt.

15

16 Given your responses on these potential benefits, how do you rate the benefits of the proposed viral control  
17 method overall?

18

19 What was the main reason you gave it?

20

21 Now we move into the second main part of the survey. In this part I will read out a number of possible  
22 areas of concern and ask you to tell me whether or not you are concerned about the issue I read out using a  
23 ten point scale. In this scale a score of 1 means that you are very concerned about the issue and a score of  
24 10 means that you are personally unconcerned. Remember we are just after your opinion. Here is the first  
25 possible concern

26

27 2.1 The possibility that the virus might affect other animals or humans by mutating or jumping species or  
28 through misuse.

29 2.2 The possibility that the virus could get into the food supply

30 2.3 Whether scientists, Government and business involved will keep the Australian community informed

31 2.4 Whether the costs of developing and using the approach will outweigh the benefits

32 2.5 Possible negative impacts on the environment especially native plants and animals

33 2.6 The impact of using a genetically modified organism on Australia's international image

34 2.7 The possibility of trade bans from other countries because we are using a genetically modified organism.

35

36 Given your responses on these concerns, how would you rate your level of concern overall in relation to  
37 this proposal? A score of 1 is not concerned at all and a score of 10 is very concerned.

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1

2 What was the main reason you gave it that rating?

3

4 3.1 Taking account of the Benefits and the Concerns, on a scale of 1 to 10, where 1 is Not worthwhile and  
5 10 is Very worthwhile, please rate this as being a worthwhile research project.

6

7 4.1 On a scale of 1 to 10, where 1 is Not at all willing, and 10 is Very willing, please rate your willingness  
8 to support the use of this method for control of mice.

9

10 4.2 On a scale of 1 to 10, where 1 is Not at all willing, and 10 is Very willing, please rate your willingness  
11 to support research into viral methods for control of other pests such as rabbits and foxes.

12

13 4.3 On a scale of 1 to 10, where 1 is Not important, and 10 is Very important, please rate how important it  
14 is for the community to be consulted on research like this.

15

16

17

18

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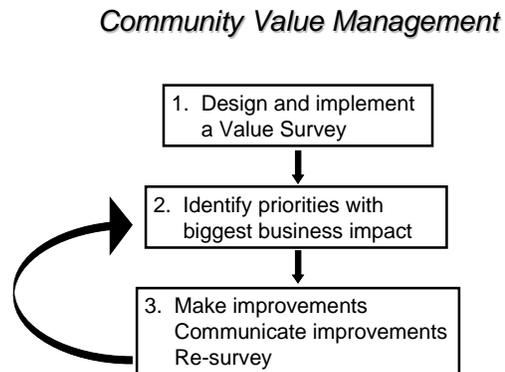
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1

**Figure 1**



2

3

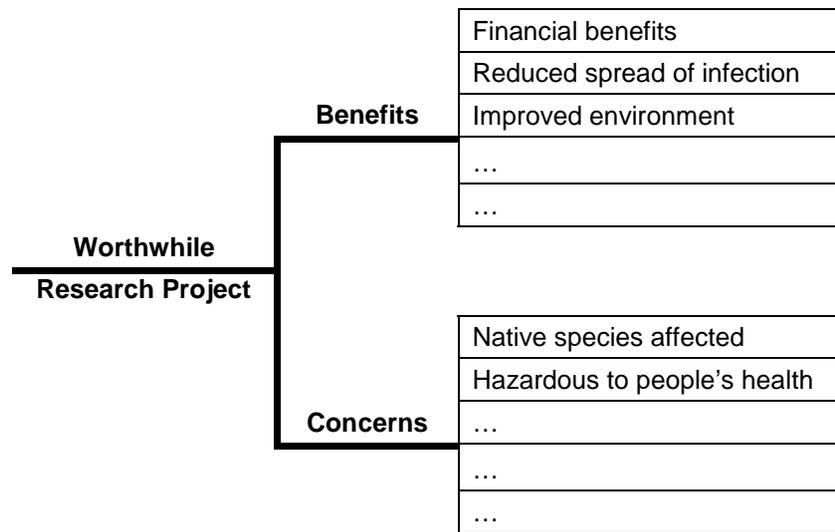
4 Figure 1. The basic improvement cycle for the process of managing Community Value. It

5 is precisely modelled on the process of managing Customer Value, developed by Ray

6 Kordupleski.

1

Figure 2



2

3 Figure 2. A Community Value Tree, showing the key drivers (*Benefits* and *Concerns*) of

4 *Community Value* (described as “Worthwhile Research Project”, in the present study).

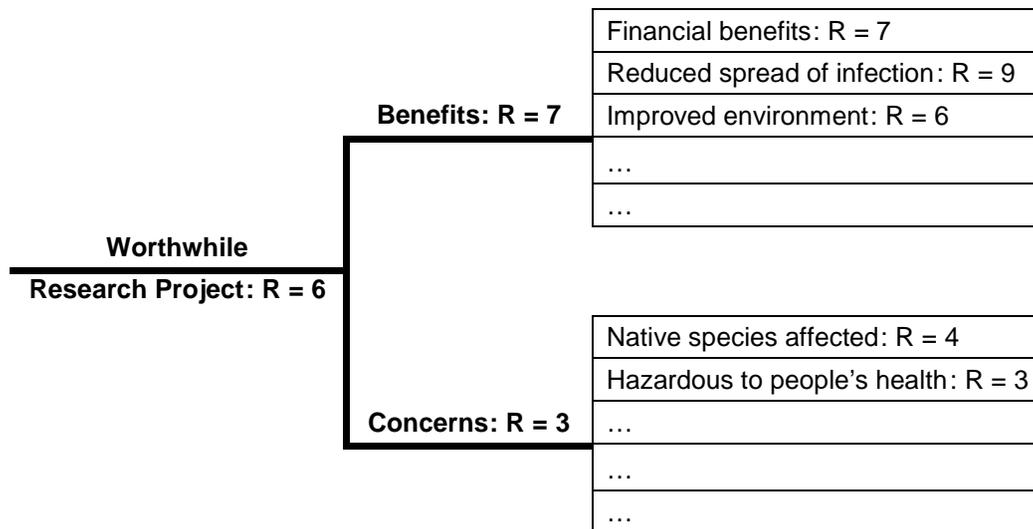
5 The main Attributes determining satisfaction with each driver are found from focus

6 groups, and used as the basis of a Community Value Survey.

7

1

Figure 3



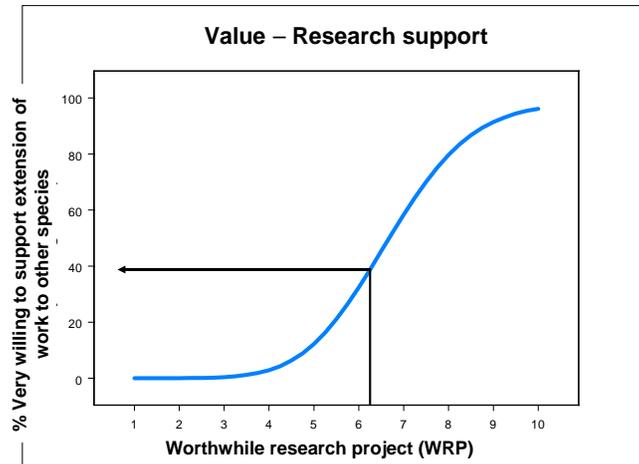
2

3 Figure 3. Illustration of structure of data from an individual respondent. For a given  
4 Driver, individual Attributes are rated first, followed by assignment of an overall rating  
5 for the Driver. For Benefits, ratings have the interpretation that 1 = *Poor*, and 10 =  
6 *Excellent*, whereas for Concerns, 1 = *Unconcerned* and 10 = *Very concerned*.

1

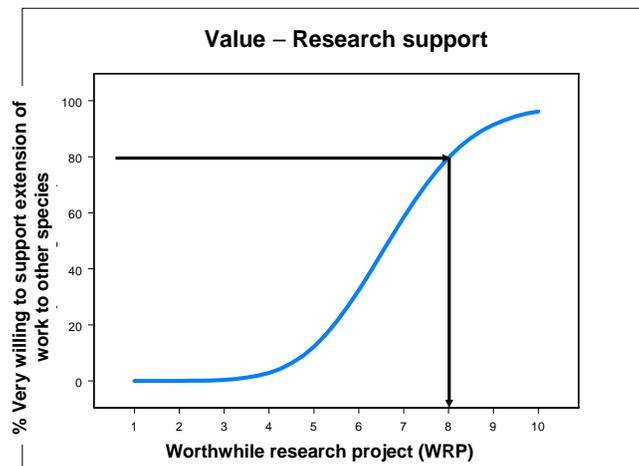
Figure 4

(a)



2

(b)



3

4 Figure 4. (a) Linking the overall mean rating on *Community Value* to a higher-level  
5 business driver. A mean rating of 6.3 corresponds to some 40% of the community very  
6 willing (as defined by a rating of 8, 9 or 10) for *Willingness to support eventual*  
7 *deployment of a genetically modified agent to manage pest mice* . (b) If community  
8 support needs to be at least 80%, this implies that the overall *Value* score will need to be  
9 lifted to around 8, providing a meaningful target for the dialogue process.

1

**Table 1**

2

Attribute	Impact weight		Mean rating	
	Previous	Current	Previous	Current
Financial benefits	–	<b>23</b>	–	<b>7.5</b>
Reduced spread of infection	–	<b>17</b>	–	<b>7.5</b>
Improved environment	–	<b>5</b>	–	<b>6.3</b>
...	–	<b>11</b>	–	<b>5.1</b>
...	–	<b>9</b>	–	<b>5.8</b>
...	–	<b>14</b>	–	<b>7.1</b>
<b>Benefits</b>		$R^2 = 79\%$	–	<b>6.4</b>

3

Ratings have a precision (95% confidence interval) of  $\pm 0.38$

4

5

Table 1. The goal of the statistical analysis is to identify the relative importance of the

6

different Attributes as explanatory variables. The unused columns labelled *Previous* are

7

included simply to emphasize the role of the survey instrument as a monitoring tool. The

8

effect of improvement initiatives can be assessed by looking for changes in the mean

9

ratings of the Attributes. Changes in the relative importance of the Attributes will be

10

signalled by changes in the impact weights (*i.e.* the regression coefficients in the fitted

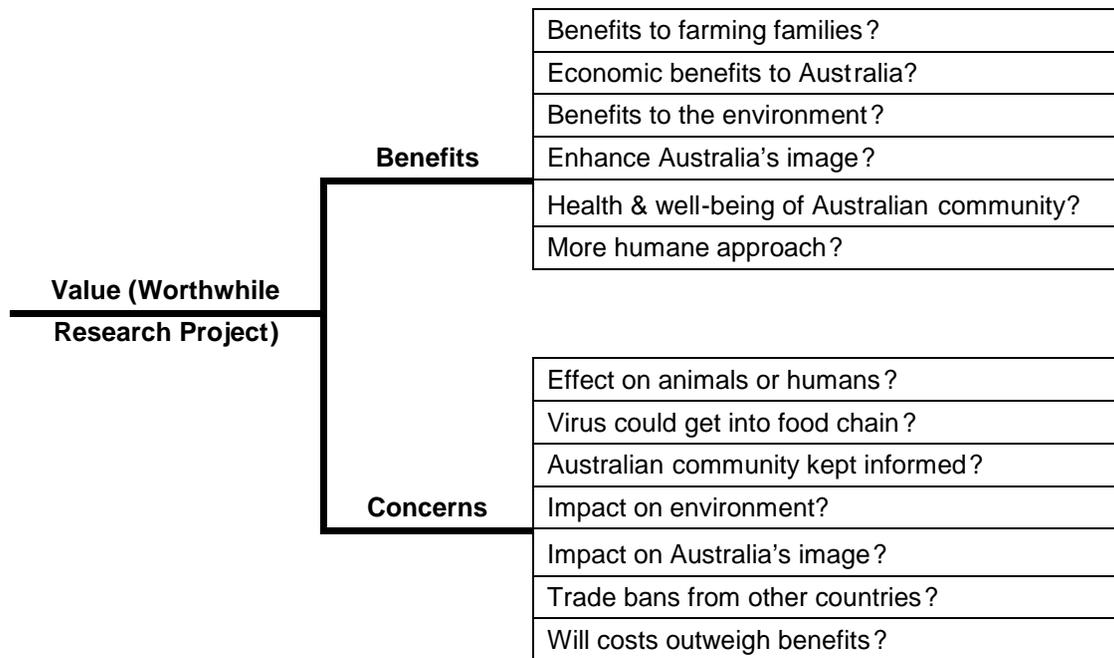
11

linear model). See the discussion in §4.

12

1

Figure 5



2

3 Figure 5. Basic Value Tree developed for survey of the Community's view about the  
4 research program into genetic technologies for controlling pest mice.

1

Figure 6

<i>R</i>	<i>W</i>	<i>R</i>	<i>Driver</i>	<i>W</i>	<i>R</i>	<i>Attribute</i>
				15%	8.8	Benefits to farming families?
				23%	8.3	Economic benefits to Australia?
	59%	8.3	<b>Benefits</b>	10%	8.2	Benefits to the environment?
				5%	8.3	Enhance Australia's image?
				22%	8.3	Health & well-being of Australian community?
				10%	7.9	More humane approach?
8.2	<b>Value</b>					
				13%	8.1	Effect on animals or humans?
				8%	8.0	Virus could get into food chain?
				14%	7.5	Australian community kept informed?
	11%	6.6	<b>Concerns</b>	10%	6.9	Impact on environment?
				12%	5.6	Impact on Australia's image?
				12%	6.2	Trade bans from other countries?
				13%	8.1	Will costs outweigh benefits?

2

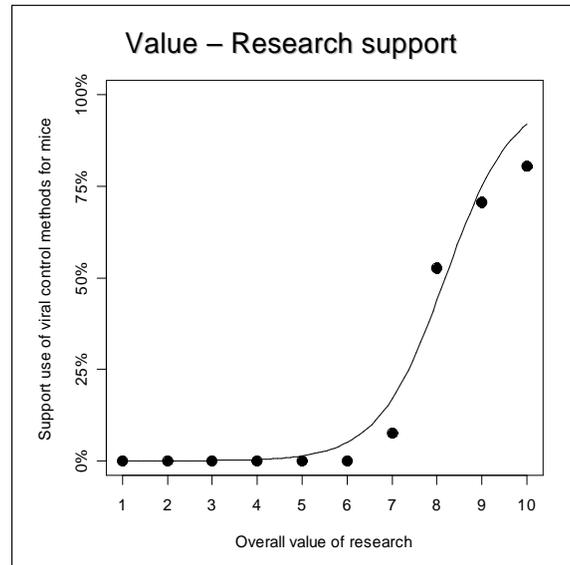
3 Figure 6. Results of statistical analysis. *R* and *W* refer, respectively, to the mean ratings  
4 and regression weights. 95% confidence intervals for the mean ratings on *Value*, *Benefits*,  
5 *Concerns*, *Attributes* (Benefits) and *Attributes* (Concerns) are, respectively,  $\pm 0.2$ ,  $\pm 0.2$ ,  
6  $\pm 0.3$  and  $\pm 0.35$ . With the model-fitting approach adopted here, the weights on a given  
7 regression add to the total  $R^2$  for the model fit. Thus  $R^2 = 70\%$  for the highest level  
8 regression model of *Value* as a function of *Benefits* and *Concerns*,  $R^2 = 84\%$  with  
9 *Benefits* as the response variable, and  $R^2 = 64\%$  with *Concerns* as the response variable.

10

1

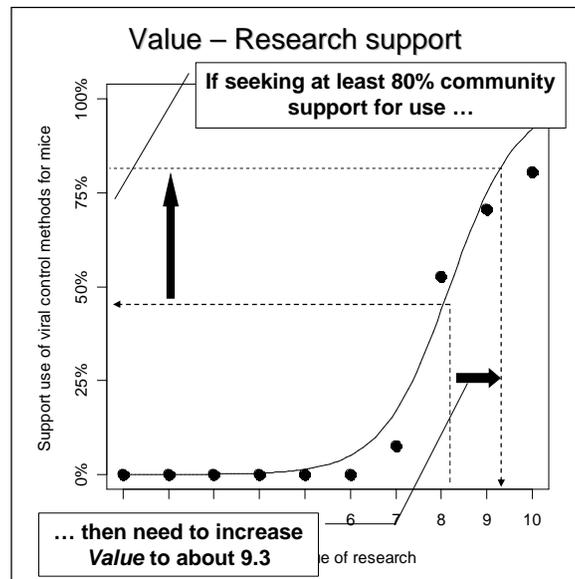
Figure 7

(a)



2

(b)



3

4 Figure 7. (a) Linking the overall mean rating on *Community Value* to a higher-level  
5 business driver. A mean rating of 8.2 corresponds to some 45% of the community very  
6 willing to support eventual use of viral methods to manage pest mice. (b) If community  
7 support needs to be at least 80%, this implies that the overall *Value* score will need to be  
8 lifted to around 9.3, providing a meaningful target for the dialogue process.